#### Item 1: Cover Sheet



## Bruce A. Smith

GRANDVIEW ASSET MANAGEMENT LLC 4200 Crums Mills Road, 2<sup>nd</sup> Floor Harrisburg, PA 17112

717-541-1000

March 14, 2023

This Brochure Supplement provides information about Bruce A. Smith that supplements GrandView Asset Management LLC Brochure. You should have received a copy of that Brochure. Please contact Bruce A. Smith at the number above if you did not receive GrandView Asset Management LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Bruce Smith (CRD# 1515396) is available on the SEC's website at www.adviserinfo.sec.gov.

#### Item 2: Educational Background and Business Experience

## **Bruce A. Smith**

Born: 1957

#### **EDUCATION:**

Penn State University, Bachelors of Engineering, 1980

Lebanon Valley College, Masters of Business Administration, 1994

#### **BUSINESS EXPERIENCE:**

GrandView Asset Management LLC Investment Advisor, 2018 – present

F&M Trust

Investment and Trust Services Market Manager, 2014 - 2018

PNC Wealth Management Investment Advisor, 2007 - 2014

Church Capital Management/Sterling Financial Investment Advisor, 2005 - 2007

Infinity Investment Advisors President/Owner, 1993 – 2005

#### PROFESSIONAL DESIGNATIONS:

#### ACCREDITED INVESTMENT FIDUCIARY (AIF®)

The AIF designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF designation, individuals must complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the AIF Code of Ethics. In order to maintain the AIF designation, the individual must annually review their affirmation of the AIF Code of Ethics and compete six hours of continuing education credits. The certification is administered by the Center for Fiduciary Studies, LLC (a Fiduciary 360 (fi360) company).

#### **Item 3:** Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for Mr. Smith.

#### **Item 4:** Other Business Activities

This item is not applicable, as Mr. Smith does not engage in any outside business activities.

### **Item 5:** Additional Compensation

Other than salary, annual bonuses, or regular bonuses, Mr. Smith does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through GrandView Asset Management LLC.

### **Item 6:** Supervision

Mr. Smith is the firm's only principal, and as such has no direct supervisor. However, all employees of GrandView Asset Management LLC are required to follow the supervisory guidelines and procedures manual which is designed to ensure compliance with securities laws in the jurisdictions where GrandView Asset Management LLC is registered.

#### **Item 1:** Cover Sheet



# GRANDVIEW ASSET MANAGEMENT LLC 4200 Crums Mills Road, 2<sup>nd</sup> Floor Harrisburg, PA 17112

717-541-1000

March 14, 2023

This Brochure Supplement provides information about Gary G. Kephart that supplements GrandView Asset Management LLC Brochure. You should have received a copy of that Brochure. Please contact Bruce A. Smith at the number above if you did not receive GrandView Asset Management LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Gary Kephart (CRD# 268215) is available on the SEC's website at www.adviserinfo.sec.gov.

#### Item 2: Educational Background and Business Experience

# Gary G. Kephart

Born: 1939

#### **EDUCATION:**

Saint Joseph's University, 1958-1960 (Attended)

Harrisburg Area Community College, 1960-1961 (Attended)

#### **BUSINESS EXPERIENCE:**

GrandView Asset Management LLC Investment Advisor, 2020 – present

Morgan Stanley Investment Advisor, 2009 - 2020

Citigroup Global Markets Inc. Investment Advisor, 1993 - 2009

#### **Item 3:** Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for Mr. Kephart.

#### Item 4: Other Business Activities

This item is not applicable, as Mr. Kephart does not engage in any outside business activities.

#### **Item 5:** Additional Compensation

Other than salary, annual bonuses, or regular bonuses, Mr. Kephart does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through GrandView Asset Management LLC.

#### **Item 6:** Supervision

Mr. Kephart is supervised by GrandView's principal and chief compliance officer, Bruce A. Smith who can be reached at 717-541-1000. Additionally, all employees of GrandView Asset Management LLC are required to follow the supervisory guidelines and procedures manual which is designed to ensure compliance with securities laws in the jurisdictions where GrandView Asset Management LLC is registered.